


8-15-01

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 3580-011343
First Inventor James R. Gilmore
Title Abrasive Polishing Composition
Express Mail Label No. EL653129782US

31044 U.S. PTO
09/29/96
08/14/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.
See 37 CFR 1.27
- ☒ Specification [Total Pages 48]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
- Oath or Declaration [Total Pages 3]
 - ☐ Newly executed (original or copy)
 - ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: substitute spec.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09,376,892
Prior application information Examiner Timothy Eley Group Art Unit 3723

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label  or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

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Name (Print/Type) James G. Porcelli Registration No. (Attorney/Agent) 33,757
Signature James Porcelli Date 8/14/01

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

PATENT APPLICATION TRANSMITTAL LETTER

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

Transmitted herewith for filing is the patent application of: James Randall Gilmore et al.
Entitled: "Abrasive Polishing Composition"

Small Entity Status:

X Small Entity Statement under 37 CFR 1.27 has been established by a
verified statement previously submitted

Enclosed are: X 3 sheet/s of drawing/s
X Declaration (copy from parent)

CLAIMS AS FILED

| | <u>No. Filed</u> | <u>No. Extra</u> | <u>Small Entity Rate</u> | <u>Non-Small Entity Rate</u> | <u>Charge</u> |
|----------------------------|------------------|------------------|----------------------------------|--------------------------------------|------------------|
| Total | | | | | |
| Claims | <u>8</u> | <u>-20 = 0</u> | x \$ 9.00 | x \$ 18.00 | \$ <u>0</u> |
| Indep. | | | | | |
| Claims | <u>2</u> | <u>-3 = 0</u> | x \$ 40.00 | x \$ 80.00 | \$ <u>0</u> |
| Multiple Dependent Claim/s | | | + \$135.00 | + \$270.00 | \$ <u>0</u> |
| Basic Fee | | | + \$355.00 | + \$710.00 | \$ <u>355.00</u> |
| | | | Total of above Charges | | \$ <u>355.00</u> |
| | | | Total Fee | | \$ <u>355.00</u> |

A check/s in the amount/s of \$ 355.00 is/are enclosed to cover the filing fee and

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16 or patent application processing fees under 37 CFR 1.17 associated with this communication to Deposit Account No. 23-0650. Please refund any overpayment to Deposit Account No. 23-0650.

An original and two copies of this sheet are enclosed.

August 14, 2001
Date

By James G. Porcelli
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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

ATTORNEY'S DOCKET NUMBER

James Randall GILMORE et al.

3580-011343

ENTITLED

"ABRASIVE POLISHING COMPOSITION"

To **BOX PATENT APPLICATION**

Commissioner for Patents

Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" Label Number EL 653129782 US

Date of Deposit August 14, 2001

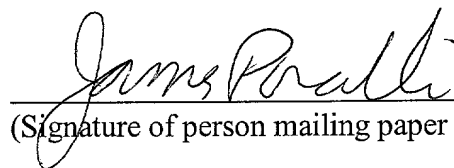
I hereby certify that the following attached papers and fee:

**UTILITY PATENT APPLICATION TRANSMITTAL (1 p. in dup.);
PATENT APPLICATION TRANSMITTAL LETTER (1 p. in trip.);
ORIGINAL SPECIFICATION (48 pp.); SUBSTITUTE SPECIFICATION (41 pp.);
DRAWING SHEETS (3 pp. incl. Figs. 1-3);
PRELIMINARY AMENDMENT (19 pp.); ATTACHMENT A (20 pp.);
A COPY OF THE DECLARATION AND POWER OF ATTORNEY (3 pp.);
A COPY OF THE ASSIGNMENT OF RIGHTS... (2 pp.);
A COPY OF THE NOTIFICATION OF FILING OF CONTINUING
OR DIVISIONAL APPLICATION (1 p.);
AND A CHECK IN THE AMOUNT OF \$ 355.00.**

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Washington, D.C. 20231.

James G. Porcelli

(Typed name of person mailing paper or fee)



(Signature of person mailing paper or fee)